

**Sandia National Laboratories**  
**Technology Transfer Participant Data Sheet**

Ver. 03/02/00

Sandia Internal Use: Agreement Number _____				Contact Number _____				
In Part 1.0, please provide a <b>corporate address</b> for our long-term records and communications with your company.								
<b>1.0</b>	Company Name:					DUNS No.:		
	Express Mail Address:							
	City:							
	Zip/Postal Code:		State		Country			
	Company Email Address:		Area Code/Phone:		Area Code/FAX:			
	Parent Company (if applicable):			Web site:				
<b>2.0</b> In Part 2, please provide specific points of contact within your company. Provide relevant information, only if different from Part 1.0.								
<b>2.1</b> Please provide the <b>technical point of contact</b> within your company with whom our technical staff will be working.								
<b>2.1</b>	Name:							
	Express Mail Address:					Email:		
	City:		State:		Country:			
	Zip/Postal Code:		Area Code/Phone:		Area Code/FAX:			
	<b>2.2</b> This agreement may involve the negotiation of <b>business</b> terms and conditions between your company and Sandia. Please provide the <b>point of contact</b> within your company who will negotiate these business issues.							
	Name:							
	Express Mail Address:					Email:		
	City:		State:		Country:			
	Zip/Postal Code:		Area Code/Phone:		Area Code/FAX:			
	<b>2.3</b> Please provide the name of your <b>corporate attorney</b> , if any, we should contact regarding legal issues.							
	<b>2.3</b>	Name:						
		Express Mail Address:					Email:	
City:		State:		Country:				
Zip/Postal Code:		Area Code/Phone:		Area Code/FAX:				
<b>2.4</b> This agreement may involve <b>financial billing</b> by Sandia. Please provide your company's point of contact for billing issues.								
Name:								
Billing Address:					Email:			
City:		State:		Country:				
Zip/Postal Code:		Area Code/Phone:		Area Code/FAX:				
<b>2.5</b> Which party above should we use as our primary point of contact?								
		<input type="checkbox"/> Technical		<input type="checkbox"/> Business		<input type="checkbox"/> Legal		
<b>3.0</b> The information in Part 3.0 is optional and may be provided at the discretion of the Participant. For some agreement types, this information may be required in the future.								
Primary SIC Code:				No. of Employees:				
Secondary SIC Code:				Annual Revenue:				
<b>4.0</b> Are any employees of the company listed in Part 1.0 above, who are involved in negotiating this agreement, either current or former ("former" means within the last two years):								
<b>4.1</b>	Sandia employees?		Current	<input type="checkbox"/>	Former	<input type="checkbox"/>	<input type="checkbox"/> No	
<b>4.2</b>	Sandia consultants or contractors?		Current	<input type="checkbox"/>	Former	<input type="checkbox"/>	<input type="checkbox"/> No	
<b>4.3</b>	Lockheed Martin employees?		Current	<input type="checkbox"/>	Former	<input type="checkbox"/>	<input type="checkbox"/> No	
<b>4.4</b>	Department of Energy employees?		Current	<input type="checkbox"/>	Former	<input type="checkbox"/>	<input type="checkbox"/> No	
Name the individual(s) and associations, if any, on an attachment.								

Agreement No. \_\_\_\_\_

<b>5.0</b> The company listed in Part 1.0 is (check all that apply):		
<b>5.1</b> <input type="checkbox"/> A small business (less than 500 employees) pursuant to 13 C.F.R. Part 121, Small Business Regulations	<b>5.12</b> <input type="checkbox"/> An institution of higher education (specify below)	<input type="checkbox"/> A state-chartered institution
<b>5.2</b> <input type="checkbox"/> A large business (500 or more employees)	<b>5.13</b> <input type="checkbox"/> An historically Black college or university	<input type="checkbox"/> A private institution
<b>5.3</b> <input type="checkbox"/> A non-profit organization or business under the Internal Revenue Code Sections 501 or 503	<b>5.14</b> <input type="checkbox"/> A certified 8A firm	<b>5.15</b> <input type="checkbox"/> A disadvantaged business
<b>5.4</b> <input type="checkbox"/> A consortium or member of a consortium or partnership under the potential agreement	<b>5.16</b> <input type="checkbox"/> A woman-owned business	<b>5.17</b> <input type="checkbox"/> A minority-owned business
<b>5.5</b> <input type="checkbox"/> Formed as a joint venture	<b>5.18</b> <input type="checkbox"/> A Native American-owned business	<b>5.19</b> <input type="checkbox"/> An Hispanic-owned business
<b>5.6</b> <input type="checkbox"/> A trade association	<b>5.20</b> <input type="checkbox"/> An African American-owned business	<b>5.21</b> <input type="checkbox"/> An Asian-owned business
<b>5.7</b> <input type="checkbox"/> A Lockheed Martin company	<b>5.22</b> <input type="checkbox"/> None of the above (Explain on separate sheet)	
<b>5.8</b> <input type="checkbox"/> A local government entity		
<b>5.9</b> <input type="checkbox"/> A state government entity		
<b>5.10</b> <input type="checkbox"/> A U.S. government agency		
<b>5.11</b> <input type="checkbox"/> A contractor to a U.S. government agency requesting access to Sandia intellectual property for use on behalf of the U.S. Government		
If 5.4, 5.5, or 5.6 is checked, is the signatory to this document authorized to bind all the members of the consortium, partnership, joint venture, or trade association to the terms and conditions in the proposed agreement? YES <input type="checkbox"/> NO <input type="checkbox"/>		
If 5.11 is checked:		
U.S. government agency:	Federal contract number:	
<b>6.0</b> The company listed in Part 1.0 is (check at least one; more than one, if applicable):		
<b>6.1</b> <input type="checkbox"/> A U.S.-owned business	<input type="checkbox"/> A U.S.-controlled business	State of Incorporation:
<b>6.2</b> <input type="checkbox"/> A non U.S.-owned business	<input type="checkbox"/> Controlled by a non U.S. entity	Country of Incorporation:
<b>6.3</b> <input type="checkbox"/> A multi-national company (i.e., U.S.-owned with foreign research and/or manufacturing facilities) Does the company have operations in the United States? YES <input type="checkbox"/> NO <input type="checkbox"/>		
<b>7.0</b> Will any products, processes, or services for use or sale in the United States that result from inventions or other intellectual property arising from the performance of the anticipated agreement be substantially manufactured in the United States? YES <input type="checkbox"/> NO <input type="checkbox"/>		
<b>8.0</b> Within the company (or subcontractors to the company) listed in Part 1.0, is the recipient(s) of the information or intellectual property contemplated under an agreement with Sandia a U.S. citizen? YES <input type="checkbox"/> NO <input type="checkbox"/>		
<b>8.1</b> If 8.0 is NO, of what country(s) is the recipient(s) a citizen?		U.S. immigration status:
<b>9.0</b> Will the Federal Acquisition Regulations or the Federal Grant and Cooperation Agreement Act (31 U.S.C. 6301-6308), apply to any of the funds to be used in performance of the proposed agreement? YES <input type="checkbox"/> NO <input type="checkbox"/>		
<b>10.0</b> Is the company listed in Part 1.0 a debarred, suspended, or ineligible contractor as defined in the Federal Acquisition Regulation 9.4? YES <input type="checkbox"/> NO <input type="checkbox"/>		
<b>11.0 Abstract (FOR CRADA PARTICIPANTS ONLY):</b> This brief, non-proprietary, non-sensitive description of work to be performed under the CRADA will be used by Sandia for reporting to the DOE Office of Science & Technical Information and for responding to public requests for information		
<b>I hereby represent that the above information may be relied upon for purposes of entering into the proposed agreement</b>		
Name:	Signature:	
Title:	Date:	

Please sign and return to:

Linda L. McNiel  
Sandia National Laboratories  
PO Box 5800  
MS-1380  
Albuquerque, New Mexico 87185

Phone: 505-843-4144  
Fax: 505-843-4175  
Email: [llmcnie@sandia.gov](mailto:llmcnie@sandia.gov)

**Notes:**

**General**

1. As part of our administrative procedures, we require our business partners to complete the above Participant Data Sheet (PDS). Please complete the PDS document. If you fill out the form electronically, please email a copy to the email address above. You may also Fax a signed copy to the Fax number above and indicate that the original is in the mail. This early information may reveal issues (for example export control) that will have to be resolved. Please print, sign, and mail the original, signed copy of the PDS to the address above. This will allow Sandia to proceed with further discussions regarding a mutually acceptable business arrangement and preparation of any legal documents that reflect the interests of Sandia and your company. We must have the signed original before any legal documents will be sent to you.
2. **Item 8: Item 8 must be completed in full.** Sandia is committed to a policy of adherence to all United States export control laws and regulations. The export of information, technical data, software, or hardware will be accomplished in accordance with United States Federal Agencies' export control regulations.

If the recipient of Sandia information, technical data, software, or hardware is not a United States citizen, then please indicate what country(s) is the recipient(s) a citizen and their United States Immigration status, if applicable.

**Commercial licenses (including TEST AND EVALUATION licenses), CRADAs, and Commercial Work for Others**

3. **Item 2.4:** If the business arrangement with Sandia may involve the payment of money and your company has a billing department with specific processes and procedures, you must complete this Item.
4. **Item 7:** For commercial business arrangements in which Sandia is granting or will grant commercial rights to Sandia Intellectual Property (IP), Item 7 **must be completed.**

**Noncommercial licenses (RESEARCH licenses)**

5. **Item 7:** For non-commercial licenses (**RESEARCH**) Item 7 may be answered NA (not applicable).